Proof of Straley's Argument for Bootstrap Percolation

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We prove that $P_c = 1$ for bootstrap percolation with large void instabilities (in particular, if m = 3 on the square lattice).

KEY WORDS: Bootstrap percolation; large voids; contours.

Bootstrap percolation is a modified site percolation process where each site, after being occupied with probability P, remains occupied only if at least m of its neighboring sites are occupied. If the number of neighbors is less, the site becomes empty (or "culled") and this process continues until each occupied site has at least m neighbors.

This model was introduced in Ref. 1. For more information about bootstrap percolation see Refs. 2–6. We note that in Ref. 6 also a class of models that is dual to bootstrap percolation was introduced, so-called diffusion percolation. The following argument also applies to this case.

If m=3 on the square lattice (or m=4 on the triangular lattice or m=5 on the simple cubic lattice), the allowed finite sets of empty sites have fixed, rigid shapes (rectangles, truncated equilateral triangles, and rectangular prisms, respectively). In this case Straley (unpublished; see Kogut and Leath⁽²⁾) argued that $P_c = 1$ because of "large void instabilities." Here we give a simple proof of this assertion. We write down the proof for the square lattice with m=3; the other cases are analogous.

The strategy of our proof is to show that with positive probability (and hence, because of ergodicity, with probability one) there exists a large empty square that is not surrounded by any rectangular contour of occupied sites. If one successively empties ("culls") all the sites that have

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less than m neighbors, such a square will end up by eating the whole lattice.

Proof. Take a fixed square \Box of $N \times N$ sites and let $1 > \varepsilon > 0$. Sub-additivity of probability gives

 $Prob(\Box \text{ is surrounded by an occupied rectangle})$

$$< \sum_{n=4N}^{\infty} P^{n} \# (\text{rectangles around } \Box \text{ of length } n)$$
$$< \sum_{n=4N}^{\infty} P^{n} \operatorname{Polyn}(n) < \varepsilon$$
(1)

if N (dependent on P and ε) is large enough.

Remark. The number of rectangles around the origin is polynomially bounded, because (a) the number of shapes for a rectangle of length 2n equals n-1, and (b) the number of rectangles of length 2n and fixed shape surrounding the origin is smaller than or equal to $(n-1)^2$.

Hence

 $Prob(\Box \text{ is not surrounded by any occupied rectangle})$

 $> 1 - \varepsilon$ (2)

 $Prob(\Box \text{ is empty and not surrounded by any occupied rectangle})$

 $>(1-P)^{N^2}(1-\varepsilon) \geqq 0 \tag{3}$

The occurrence of such a \Box somewhere is a translation-invariant event and by the ergodic theorem⁽⁷⁾ it has probability one. (In fact, using only the rigidity, the occupied rectangle need not be occupied at the corner points, but this does not change the argument.²) Hence, for any P < 1 the lattice becomes empty for this percolation process and thus $P_c = 1$.

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² This remark might apply to related models; see, e.g., Ref. 8.

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